

IFW

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
17490

In Re Application Of: **Tomoyuki Hatakeyama, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/795,770	March 8, 2004	Unassigned	23389	1725	4080

Title: **ELECTROSTATIC DRIVING DEVICE AND MANUFACTURING METHOD OF THE SAME**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
17490

In Re Application: Tomoyuki Hatakeyama, et al.

Application No. 10/795,770	Filing Date March 8, 2004	Examiner Unassigned	Customer No. 23389	Group Art Unit 1725	Confirmation No. 4080
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**ELECTROSTATIC DRIVING DEVICE AND MANUFACTURING METHOD OF THE SAME**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **August 10, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature \_\_\_\_\_

Thomas Spinelli

Typed or Printed Name \_\_\_\_\_

**\*This certificate may only be used if paying by deposit account.**

Signature \_\_\_\_\_

Dated: August 10, 2004

Thomas Spinelli  
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cc:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Tomoyuki Hatakeyama, et al. **Examiner:** Unassigned  
**Serial No.:** 10/795,770 **Art Unit:** 1725  
**Filed:** March 8, 2004 **Docket:** 17490  
**For:** ELECTROSTATIC DRIVING DEVICE  
AND MANUFACTURING METHOD OF  
THE SAME **Dated:** August 10, 2004  
**Conf. No.:** 4080

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

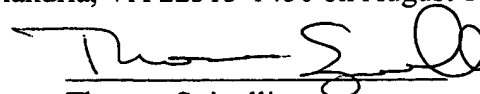
1. United States Patent Application Publication No. 2002/0054422 A1, to Carr et al., dated May 9, 2002; and
2. United States Patent No. 4,973,131, issued to Carnes, dated November 27, 1990.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 10, 2004.

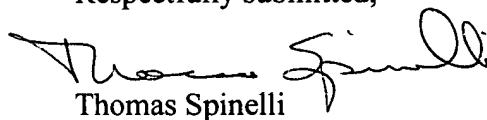
Dated: August 10, 2004

  
Thomas Spinelli

The references were cited in a Search Report dated July 13, 2004 received from the European Patent Office. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references. Please note that the other reference cited in the Search Report, namely, United States Patent Application Publication No. 2002/057506 A1, dated May 16, 2002, was previously submitted in applicants' Information Disclosure Statement dated March 8, 2004. The relevance of the above-identified references has been described in the Search Report.

Further, the undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Statement under 1.704(d) is also enclosed.

Respectfully submitted,

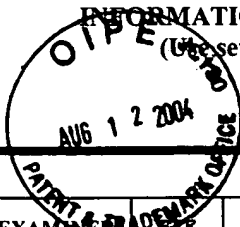


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<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	<b>Docket Number (Optional)</b> 17490	<b>Application Number</b> 10/795,770
	<b>Applicant(s)</b> Tomoyuki Hatakeyama, et al.	
	<b>Filing Date</b> March 8, 2004	<b>Group Art Unit</b> 1725



**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2002/0054422 A1	5/9/2002	Carr et al.			
		4,973,131	11/27/1990	Carnes			

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Tomoyuki Hatakeyama, et al.      **Examiner:** Unassigned  
**Serial No.:** 10/795,770      **Art Unit:** 1725  
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THE SAME      **Dated:** August 10, 2004  
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Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT PURSUANT TO 37 C.F.R. § 1.704(d)**

Sir:

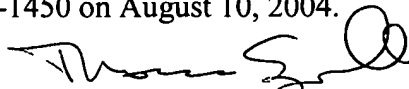
I hereby state that each item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

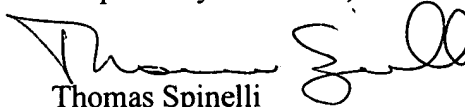
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Dated: August 10, 2004

  
Thomas Spinelli

Therefore, this Information Disclosure Statement cannot be considered a failure to engage in reasonable efforts to conclude prosecution of the application under paragraphs (c)(6), (c) (8), (c) (9), or (c) (10) of 37 C.F.R. § 1.704.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Thomas Spinelli", with a stylized flourish at the end.

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